

POTENTIAL OF IKONOS AND QUICKBIRD IMAGERY FOR ACCURATE 3D POINT POSITIONING, ORTHOIMAGE AND DSM GENERATION

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Thematic Session 20 – Applications of High Resolution Data

KEY WORDS: IKONOS, QUICKBIRD, high resolution, radiometric preprocessing, sensor models, point positioning, orthoimage, DSM, accuracy analysis

ABSTRACT:

This paper describes the processing of IKONOS and QUICKBIRD imagery of two different datasets in Switzerland for analyzing the geometric accuracy potential of these images for 3D point positioning, and orthoimage and DSM generation. The first dataset consists of panchromatic and multispectral IKONOS and QUICKBIRD images covering the region of Geneva. In the second area around Thun with a height range of ca. 1650 m, the dataset consisted of a triplet and a stereo pair with an overlap of 50 %. In both areas, laser DTM/DSM existed and in Geneva also aerial orthoimages. GCPs with an accuracy of 0.2-0.4 m have been used in both sites. The investigations for 3D point positioning included 4 different sensor models, different GCP measurement, variable number of control points and area covered by them. The results showed that the Rational Polynomial Coefficient (RPC) model compared to 2D and 3D affine models are more general and can model sufficiently imaging modes that depart from linearity. This is particular so for QUICKBIRD which needs after the use of RPCs an additional affine transformation in order to reach accuracies of 1m or less. With sufficient modeling, the planimetric accuracy was 0.4 – 0.5 m, even for few GCPs and only partly covering the images. Orthoimages were generated from both QUICKBIRD and IKONOS with an accuracy of 0.5-0.8 m, using a laser DTM. A sophisticated matching algorithm was employed in Thun. In spite of various difficult conditions like snow, long shadows, occlusions due to mountains etc., the achieved accuracy without any manual editing, was 1-5 m depending on the landcover type, while in open areas it was about 1 m. Under normal conditions, this accuracy could be pushed down to about 0.5 m. Thus, IKONOS, and to a lesser degree QUICKBIRD, could be an attractive alternative for DSM generation worldwide.

1. INTRODUCTION

1.1 Aims

The topic of this paper is the analysis of the potential of IKONOS and secondary QUICKBIRD (QB) for 3D point positioning, orthoimage and DSM generation. Two test sites, in Geneva and Thun, were used with accurate reference data and partly different aims. In both projects, there was a cooperation with the Swiss Federal Office of Topography (swisstopo) and Space Imaging (SI). In Geneva, the final aim was the investigation whether high-resolution satellite (HRS) imagery can be used for updating the Swiss national maps at foreign border areas, which has as prerequisite the generation of accurate orthoimages. Another aim was the analysis of accuracy of IKONOS and QB for 3D point positioning and orthoimage generation using Rational Polynomial Coefficients (RPCs) and other simpler sensor models. The HRS orthoimages will be compared to alternative information sources regarding feature interpretation and mapping by the swisstopo. In Thun, the main aim was accuracy investigations of IKONOS for point positioning and DSM generation using a block of images (2 strips with 5 images) over a terrain with large height range and very variable landcover. The whole processing was performed exclusively with software based on good quality algorithms and developed at our Institute, most of it part of an operational software package for processing of linear array digital imagery.

1.2 Datasets

In Geneva, we used two slightly overlapping IKONOS images (west and east, each about 10 km x 20 km) and one QB image covering the eastern and 60% of the western IKONOS images. In Thun, one stereo pair (eastern part) and a triplet (western part) of IKONOS images (each image 10 km x 20 km) were used, with each image group acquired on the same day (see Table 1). The two strips in Thun had a ca. 50% overlap, and the triplet images were covered in about 70% of the area by snow, while all images had long shadows. The nadir image in the triplet was very close to one image of the stereopair, which had a suboptimal base/height ratio. All IKONOS images were Geo, 11-bit with DRA off, with 1m panchromatic (PAN) and 4m multispectral (MS) channels (in Thun only PAN was used), while the QB image was Basic 1B, 11-bit, 0.63m PAN and 2.52m MS. IKONOS and QB images had associated RPC files. For the measurement of GCPs in the Geneva site we used in the Canton of Geneva orthoimages with 0.25 m pixel size and ca. 0.5 m accuracy, derived from 1 m laser DTM with 0.5 m accuracy and outside the Canton, Swissimage orthoimages with 0.5 m pixel size and 1 m accuracy, derived from a 25m DTM (DHM25) with ca. 2 m accuracy. The coordinates of the GCPs in Thun were measured with differential GPS. In all cases, GCPs were measured in the images semi-automatically using least squares and intersection of straight, long enough lines or ellipse fit. The control points have an accuracy of 0.2 - 0.4 m in object and image space. In Thun, a 2m laser DSM with an accuracy of 0.5 m - 1 m (1 sigma) for open areas and 1.5 m for vegetation areas was used as reference data for the DSM generation from IKONOS.

| Image | Date of acquisition | Scanning mode | Sensor-Azimuth (deg) | Sensor-Elevation (deg) | Numbers of GCPs | GCP accuracy (m) | GCP measurement method |
|---------------|---------------------|---------------|----------------------|------------------------|-----------------|------------------|------------------------|
| Geneva_Q | 2003-07-29 | Reverse | 286.4 | 77.6 | 67 | 0.3-0.5 | Orthoimage / laser DTM |
| Geneva_I_West | 2001-05-28 | Forward | 253.6 | 67.2 | 34 | 0.3-0.5 | Orthoimage / laser DTM |
| Geneva_I_East | 2001-05-28 | Reverse | 240.2 | 61.6 | 44 | 0.3-0.5 | Orthoimage / laser DTM |
| Thun_I_49_000 | 2003-12-11 | Reverse | 140.35 | 62.78 | 25 | 0.2-0.3 | GPS |
| Thun_I_49_100 | 2003-12-11 | Reverse | 66.41 | 63.56 | 25 | 0.2-0.3 | GPS |
| Thun_I_51_000 | 2003-12-25 | Reverse | 180.39 | 62.95 | 24 | 0.2-0.3 | GPS |
| Thun_I_51_100 | 2003-12-25 | Reverse | 72.206 | 82.13 | 24 | 0.2-0.3 | GPS |
| Thun_I_54_000 | 2003-12-25 | Forward | 128.17 | 82.62 | 24 | 0.2-0.3 | GPS |

Table 1. Specifications of used satellite images and respective GCPs (Q stands for QUICKBIRD and I for IKONOS).

2. IMAGE ANALYSIS

2.1 Radiometric Quality

HRS usually employ TDI technology. All IKONOS and QB images have been acquired using 13 stages of the TDI. A higher number of stages would increase the signal but also the danger of saturation, especially for bright objects. TDI results in smoothing and a reduction of the MTF. MTF is always applied by SI and although in the QB metadata nothing is mentioned, it is fairly probable that a similar process is applied. DRA is optional with IKONOS, but with QB although again nothing is mentioned in the metadata, it seems that it is applied by default (this is indicated by the respective histograms which show saturation in the maximum grey value of 2047). The histograms of both IKONOS and QB show that only 8-9 bit are essentially used, while the blue channel has the smallest range of grey values.

The noise characteristics of the images were analysed and quantified using the standard deviation of the gray values in homogeneous (Lake of Geneva, Lake of Thun) and inhomogeneous areas (large image parts without homogeneous areas). The use of homogeneous areas is justified as noise is especially visible in such areas, whereas the use of inhomogeneous areas allows an analysis of the noise variation as a function of intensity and when homogeneous areas are missing. Baltasvias et al. (2001), in their first assessment of IKONOS Geo, give a short description of the method utilised for noise estimation. The method has been modified regarding noise estimation in inhomogeneous areas, in order to adapt computation of the standard deviation according to the number of significant samples in each bin (grey level range). Homogeneous areas existed only in the IKONOS East image of Geneva and the eastern Thun stereo pair. In QB, due to wind, the water surface was not homogeneous and could not be used. The mean standard deviation is computed out of the N% (here 85%) smallest percentage of samples. According to Table 2, the noise in the Thun images is slightly less than in Geneva and the MS exhibit less noise than the PAN ones, possibly due to the 4 times larger pixel size. Considering the fact that the 11-bit data represent actually only 8-9 bit, the noise is quite high for PAN, a fact that could be verified visually by strong image contrast enhancement.

Estimation of noise in inhomogeneous areas uses as input a range of standard deviations in each bin, based on which a percentage is computed. The standard deviation in homogeneous areas is used to compute the input range. For the Geneva IKONOS images, the values of the input range were set to 3.5 for PAN and 1.5 for MS. For the QB PAN, the range

has been empirically set to 1.7. Table 3 shows the results for the PAN channels, whereby the values for IKONOS are average values. Table 3 indicates that noise is intensity dependent for all images, however for QB the noise increases less with intensity. When the number of samples in a bin is less than 50, no value is given. The lower noise of QB may be due to a better preprocessing of the QB images, or due to the imaging conditions (e.g. higher elevation), or due to the fact that QB while scanning the scene, e.g. from North to South continuously rotates from South to North in order to achieve the nominal pixel size for PAN, thus oversampling. But it can also be accidental, or due to uncertainties in noise estimation in inhomogeneous areas. Thus, more tests with QB images involving also homogeneous areas are needed. For the MS channels, in both IKONOS and QB, the noise pattern is similar to PAN, however due to the lower dynamic range (shorter integration time), less bins have a significant number of samples.

| Ikonos images | Red | Green | Blue | NIR | PAN |
|------------------|------|-------|------|------|------|
| Geneva East | 1.89 | 2.34 | 2.35 | 2.02 | 5.05 |
| Stereo A Thun | 1.54 | 1.98 | 2.21 | 1.73 | 4.20 |
| Stereo B Thun | 1.61 | 1.77 | 1.93 | 1.66 | 4.13 |

Table 2. Noise estimation for homogeneous areas in IKONOS images.

| PAN Scenes | 0 – 127 | 128 – 255 | 256 – 383 | 384 – 511 | 512 – 639 | 640 – 767 | 768 – 895 |
|--------------|---------|-----------|-----------|-----------|-----------|-----------|-----------|
| Geneva_I | - | 3.46 | 3.67 | 4.03 | 4.20 | 5.61 | 6.26 |
| Geneva_Q | 1.26 | 1.35 | 1.38 | 1.33 | 1.47 | 2.14 | 2.93 |
| Thun stereo | 1.81 | 1.95 | 3.26 | 5.54 | - | - | - |
| Thun triplet | 1.82 | 2.38 | 2.53 | 2.99 | 3.47 | 4.59 | - |

Table 3. Noise estimation for inhomogeneous areas and different grey value ranges (bins) in PAN images.

All images were found to exhibit artifacts, which were visible, especially in homogeneous area and/or after strong contrast enhancement. Stripes in flight direction due to imperfect calibration of the sensor elements. Strong reflections in both PAN and MS images, which lead to saturation of the signal and loss of information. Spilling (Fig. 1(a), IKONOS, 1(c) QB) of bright target response in neighbouring lines in the flight direction, visible almost exclusively in the PAN images and blooming (Fig. 1 (b), IKONOS).

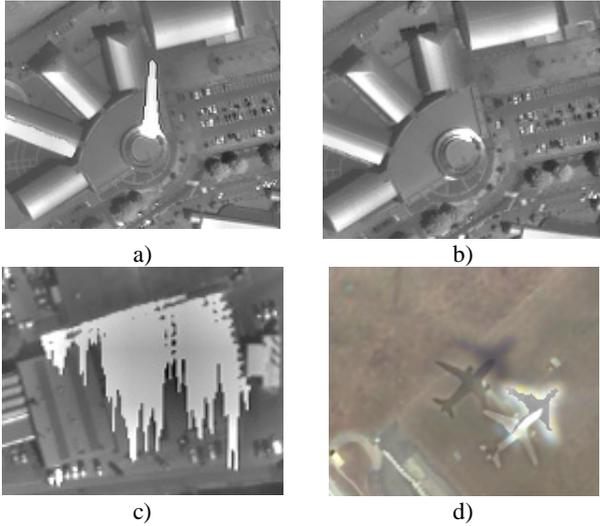


Figure 1. Artefacts.

Spilling is probably the most grave radiometric problem, as it destroys image information and may confuse subsequent feature and object extraction. It increases with smaller pixel size and with smaller angle between the line-of-sight of the sensor and the reflected sun rays. It is pronounced because of the TDI and increases when more TDI stages are used. It is apparent that with bright targets the respective TDI pixels are saturated and the excess signal is not properly discharged, influencing subsequent lines. QB has much more spilling (more often, longer and wider) due to its smaller pixel size but also due to its continuous rotation during imaging. In Geneva, QB had 135 artifacts compared to 10 and 18 for IKONOS East and West. Ghosting of moving objects (Fig. 1 (d), QB) is visible in pansharpened images, due to the time difference in the acquisition of the PAN and MSI images. Another factor influencing image quality are shadows. In both IKONOS and QB images, most shadowed areas (especially in urban areas) did not have significant signal variation, even after strong contrast enhancement. However, in the winter images of Thun, very large open shadowed areas of mountain cliffs covered by snow could be enhanced quite successfully.

2.2 Image Preprocessing

In order to improve the radiometric quality and optimize the images for subsequent processing, a series of filters are applied. The performed preprocessing encompasses noise reduction, contrast and edge enhancement and reduction to 8-bit by non-linear methods. All filters are applied to the 11 bit data.

Noise reduction filters aim at reducing noise, while sharpening edges and preserving corners and one pixel wide lines. The two local filters employed have similar effects although they use different parameters (Baltsavias et al., 2001). In Fig. 2, the Adaptive Edge Preserving Weighted Smoothing is compared to a Gaussian filter. Apart from the visual verification, reduction of noise was quantified by noise estimation in inhomogeneous areas. Comparing Tables 3 and 4, a reduction of noise by a factor of about 2.5 - 3.0 and 1.8 for PAN IKONOS and QB, respectively, is estimated. Following noise reduction, local contrast enhancement is applied using the Wallis filter. Moreover, 11-bit data are reduced to 8-bit by an iterative non-linear method in order to preserve the grey values that are more frequently occurring. Two different approaches are

implemented, one with flat frequency of output grey values and one with Gaussian form frequency, the latter being applied here. The improvement of the image after preprocessing is shown in Fig. 3.

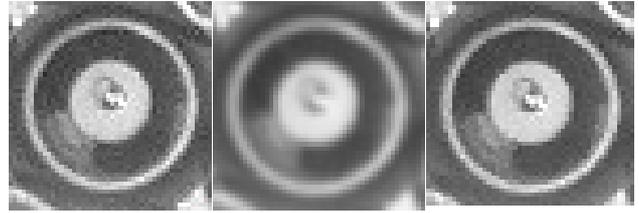


Figure 2. Effect of filtering: (left to right) Original image, Gaussian 5x5 filter, Adaptive Edge Preserving Weighted Smoothing.

| PAN Scenes | 0 - 127 | 128 - 255 | 256 - 383 | 384 - 511 | 512 - 639 | 640 - 767 | 768 - 895 |
|--------------|---------|-----------|-----------|-----------|-----------|-----------|-----------|
| Geneva_I | - | 1.04 | 1.01 | 1.17 | 1.21 | 1.84 | 1.90 |
| Geneva_Q | 0.80 | 0.86 | 0.89 | 0.88 | 0.82 | 0.98 | 1.24 |
| Thun stereo | 0.53 | 0.54 | 1.56 | 2.55 | - | - | - |
| Thun triplet | 0.54 | 0.76 | 0.81 | 1.00 | 1.36 | 1.94 | - |

Table 4. Noise level in inhomogeneous areas and different grey value ranges (bins) for IKONOS scenes, after noise reduction.

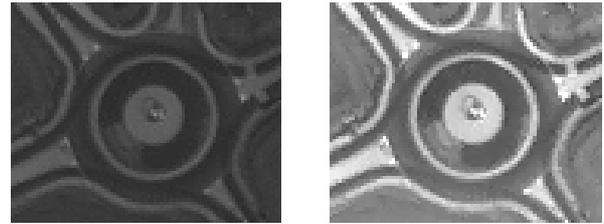


Figure 3. IKONOS image before (left) and after (right) preprocessing.

3. IMAGE ORIENTATION

3.1 Methods and Sensor Models

With the supplied RPCs and the mathematical model proposed by (Grodecki and Dial, 2003), a bundle adjustment is performed. The model used is:

$$x + \Delta x = x + a_0 + a_1x + a_2y = RPC_x(\mathbf{j}, \mathbf{I}, h)$$

$$y + \Delta y = x + b_0 + b_1x + b_2y = RPC_y(\mathbf{j}, \mathbf{I}, h)$$

where a_0 , a_1 , a_2 and b_0 , b_1 , b_2 are the affine parameters for each image, and (x, y) and $(\mathbf{j}, \mathbf{I}, h)$ are image and object coordinates of points.

Using this adjustment model, we expect that a_0 and b_0 absorb most errors in the exterior and interior orientation. The parameters a_1 , a_2 , b_1 , b_2 are used to absorb the effects of on-board GPS and IMU drift errors and other residual effects. In our approach, we first use the RPCs to transform from object to image space and then using these values and the known pixel coordinates we compute either two translations (model RPC1) or all 6 affine parameters (model RPC2).

For satellite sensors with a narrow field of view like IKONOS and QB, simpler sensor models can be used. We use the 3D affine model (3daff) and the relief-corrected 2D affine (2daff) transformation. They are discussed in detail in Fraser et al. (2002) and Fraser (2004). Their validity and performance is

expected to deteriorate with increasing area size and rotation of the satellite during imaging (which introduces nonlinearities), while the 3D affine model should perform worse with increasing height range and in such cases is more sensitive than the 2D affine model in the selection of GCPs.

3.2 Measurements of the GCPs

In Geneva, some roundabouts and more straight line intersections (nearly orthogonal with at least 10 pixels length) were measured semi-automatically in the satellite images and the aerial orthoimages (see Fig. 4). Measurement of GCPs by least squares template matching (Baltasvicius et al., 2001) was not convenient or possible due to highly varying image content and scale. The height was interpolated from the DTM used in the orthoimage generation. An unexpected complication was the fact that the Canton of Geneva is using an own coordinate system and not the Swiss one! The transformation from one system to the other is not well defined, and based on different comparisons of transformed Geneva coordinates and respective coordinates in the Swiss system, a systematic bias has been observed, indicating that the results listed below could have been better. In Thun, the same image measurement approach was used, however, roundabouts (which are better targets) were very scarce. As expected, well-defined points were difficult to find in rural and mountainous areas, especially in Thun, where they had to be visible in 5 images simultaneously, while shadows and snow made their selection even more difficult. The object coordinates in Thun were measured with differential GPS. GPS requires work in the field, but the accuracy obtained is higher (espec. in height) and more homogeneous than using measurements in orthoimages, which have varying accuracy with unknown error distribution (due to the DSM/DTM). The number of GCPs and their accuracy are listed in Table 1.

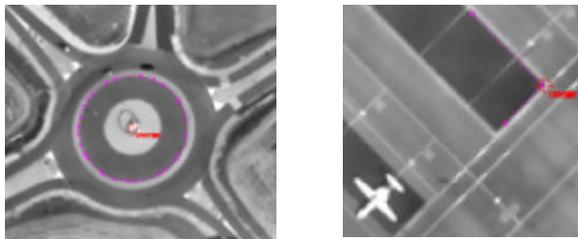


Figure 4. Examples of GCP measurement with ellipse fitting (left) and line intersection (right).

3.3 Comparison of different sensor models

In Geneva, we compared various sensor models, IKONOS vs. QB and analysed the influence of the number of GCPs. Due to lack of space, only the most important results will be shown here.

Tables 5 and 6 show the results for the transformation from object to image space. Three different GCP configurations are used with all, 10 and 4 GCPs. Table 5 shows that with all GCPs, in IKONOS-East, all 4 sensor models have similar performance, with RPC2 being slightly better. In IKONOS-West (with forward scanning) results are similar for RPC1 and RPC2, a bit worse in y with 2D affine and considerably worse for 3D affine. The latter model deteriorates more with reduction of GCPs and is more sensitive to their selection. For the other models, the accuracy reduction from 44 to 4 GCPs is very modest, verifying findings from previous investigations

that the number of GCPs is not so important, as their accuracy and secondary their distribution. The results for the 3D affine were initially by some factors worse than the ones of Table 5, when using geographic coordinates instead of map coordinates (oblique Mercator). The dependency of the results on the coordinate system has been discussed by Fraser (2004), albeit with smaller differences than the ones noted here.

| Model | GCP | CP | x-RMS [m] | y-RMS [m] | Max. Dx [m] | max. Dy [m] |
|-------|-----|----|-----------|-----------|-------------|-------------|
| rpc1 | 44 | - | 0.65 | 0.56 | 1.40 | 1.21 |
| rpc2 | 44 | - | 0.54 | 0.42 | 1.53 | 0.98 |
| 3daff | 44 | - | 0.55 | 0.41 | 1.40 | 0.81 |
| 2daff | 44 | - | 0.55 | 0.47 | 1.39 | 1.18 |
| rpc2 | 10 | 34 | 0.57 | 0.52 | 1.52 | 1.07 |
| rpc2 | 4 | 40 | 0.60 | 0.50 | 1.63 | 1.13 |
| rpc1 | 4 | 30 | 0.63 | 0.40 | 1.35 | 1.40 |
| rpc2 | 4 | 30 | 0.61 | 0.54 | 1.63 | 1.13 |
| 3daff | 4 | 30 | 1.25 | 4.16 | 3.83 | 15.70 |
| 2daff | 4 | 30 | 0.66 | 0.83 | 1.39 | 1.32 |

Table 5. Comparison of sensor models and number of GCPs with IKONOS-East (Geneva). At the bottom, one example for IKONOS-West. CP are the check points.

QB (see Table 6) is much less linear than IKONOS (expected partly due to its less stable orbit and pointing, and continuous rotation during imaging). Only RPC2 performs with submeter accuracy and only with this model can QB achieve similar accuracy as IKONOS. A residual plot with RPC1 shows a very strong x-shear. The 2D and 3D affine transformations are totally insufficient for modelling. As with IKONOS, a reduction of the GCPs has not any significant influence with RPC2. Thus, using simple RPCs (as in most commercial systems), or even applying 2 shifts in addition, will not lead to very accurate results with QB. It should be noted here that the QB image was Basic, i.e. not rectified. It is expected that a rectified image will show a more linear behaviour, and the respective RPCs will be more stable.

| Model | GCP | CP | x-RMS [m] | y-RMS [m] | max. Dx [m] | max. Dy [m] |
|-------|-----|----|-----------|-----------|-------------|-------------|
| rpc1 | 67 | - | 2.64 | 0.43 | 5.57 | 0.92 |
| rpc2 | 67 | - | 0.44 | 0.43 | 1.06 | 0.93 |
| 3daff | 67 | - | 12.96 | 7.47 | 28.52 | 22.11 |
| 2daff | 67 | - | 8.26 | 4.83 | 19.49 | 15.53 |
| rpc2 | 10 | 57 | 0.46 | 0.44 | 1.12 | 0.97 |
| rpc2 | 4 | 63 | 0.49 | 0.57 | 1.34 | 1.23 |

Table 6. Comparison of sensor models and number of GCPs with QB. CP are the check points.

For the Thun dataset, the triplet and stereo images were used separately in a bundle adjustment to determine object coordinates (processing of all images together was not possible due to a program limitation). Several semi-automatically measured (with least squares matching) tie points were included. The results for the triplet are shown in Table 7. The previous conclusions were verified, while the 3D affine model was worse compared to Geneva, probably because of the larger height range. A new indication compared to the Geneva data refers to the height accuracy. This is clearly better with RPC2,

and seems to get worse with decreasing number of GCPs, at least for this area with large height differences.

As a next step, we checked the role of the area covered by the GCPs, using always 5 GCPs (Table 8). RPC1 gave more or less similar results in planimetry, verifying previous investigations with the 2D affine model. The height however, is more sensitive to the position of the area covered by the GCPs, deteriorating in accuracy when GCPs were only in flat areas. Surprisingly, RPC2 gives clearly worse results than RPC1, especially when GCPs cover only 1/3 of the image area. This has been also verified with the Geneva images. A possible explanation is that after the RPCs are used, the scales and shears of the affine transformation model very small residual model errors. If in addition the GCP measurements are noisy (see e.g. the particularly high RMS at the mountainous south-west where GCP definition was poor), and the area covered is small, then these parameters may easily take wrong values. Grodecki and Dial (2003) mention the need to use only a linear factor in flight direction if the strip is long (about > 50 km). In future investigations, we will analyse to what extent the 4 scale and shear parameters are significant and determinable. These preliminary results indicate that RPC2 should be used with a GCP distribution covering most of the image area.

4. ORTHOIMAGE AND DSM GENERATION

The focus in the following text will be on the DSM generation in Thun. The results of the orthoimage generation in Geneva are analysed in Heller and Gut (2004). The accuracy of the orthoimages generated with the laser DTM and RPC2 with 10

GCPs gave an exceptional accuracy of 0.5 m - 0.80 m for both IKONOS and QB, with very typical sensor elevation values. These orthoimages are thus more accurate than the national Swissimage orthoimages, however interpretation of objects is more difficult.

4.1 DSM Generation Method

For DSM generation, a hybrid image matching algorithm was used (for details see Zhang and Gruen, 2003, 2004). Our method considers the characteristics of the linear array image data and its imaging geometry. The method can accommodate images from very high-resolution (3-7 cm) airborne Three-Line-Scanner images to HRS images like IKONOS, QB and SPOT-5. It can be used to produce dense, precise and reliable results for DSM/DTM generation. The final DSMs are generated by combining the matching results of feature points, grid points and edges. Matching is performed using cross-correlation and image pyramids. A TIN-based DSM is constructed from the matched features (whereby edges are used as breaklines) at each level of the pyramid, which in turn is used in the subsequent pyramid level for approximations and adaptive computation of the matching parameters. The modified MPGC (Multiphoto Geometrically Constrained Matching) algorithm (Gruen, 1985; Baltsavias, 1991) is employed to achieve sub-pixel accuracy for all points matched (if possible in more than two images) and identify some inaccurate and possibly false matches. Finally, a raster DSM can be interpolated from the original matching results.

| Sensor Model | GCP | CP | x-RMS [m] | y-RMS [m] | z-RMS [m] | max. Dx [m] | max. Dy [m] | max. Dz [m] |
|--------------|-----|----|-----------|-----------|-----------|-------------|-------------|-------------|
| rpc1 | 24 | - | 0.44 | 0.46 | 1.06 | -1.11 | -0.89 | 2.08 |
| rpc2 | 24 | - | 0.39 | 0.42 | 0.68 | -0.95 | -0.84 | -1.40 |
| 3daff | 24 | - | 2.37 | 1.07 | 0.86 | -4.87 | 2.05 | 1.57 |
| rpc2 | 20 | 4 | 0.40 | 0.42 | 0.68 | -1.01 | -0.93 | -1.41 |
| rpc2 | 12 | 12 | 0.41 | 0.46 | 0.72 | 0.90 | -0.92 | -1.44 |
| rpc2 | 5 | 19 | 0.51 | 0.43 | 0.90 | -1.37 | -0.78 | -1.40 |

Table 7. Comparison of sensor models and number of GCPs in the IKONOS triplet (Thun). CP are the check points.

| Sensor Model | GCP | CP | x-RMS [m] | y-RMS [m] | y-RMS [m] | max. Dx [m] | max. Dy [m] | max. Dy [m] |
|--------------|-----|----|-----------|-----------|-----------|-------------|-------------|-------------|
| rpc1 | 5 | 19 | 0.45 | 0.46 | 1.10 | -1.07 | -0.99 | 2.30 |
| rpc2 | 5 | 19 | 0.67 | 1.70 | 3.45 | 1.18 | -3.04 | 6.24 |
| rpc1 | 5 | 19 | 0.50 | 0.47 | 1.63 | -1.33 | 0.89 | 2.93 |
| rpc2 | 5 | 19 | 0.82 | 0.97 | 1.75 | -1.51 | 2.02 | 3.17 |
| rpc1 | 5 | 19 | 0.45 | 0.46 | 1.25 | -1.05 | -0.96 | 2.74 |
| rpc2 | 5 | 19 | 0.53 | 0.59 | 1.50 | -1.03 | -1.52 | 3.15 |
| rpc1 | 5 | 19 | 0.49 | 0.46 | 1.65 | 1.06 | -1.05 | 3.35 |
| rpc2 | 5 | 19 | 0.47 | 0.86 | 0.92 | -0.95 | 1.95 | 1.94 |
| rpc1 | 5 | 19 | 0.45 | 0.46 | 1.10 | -1.06 | -1.16 | 4.11 |
| rpc2 | 5 | 19 | 0.41 | 0.70 | 1.05 | -1.18 | -1.19 | -2.33 |

Table 8. Different distribution of GCPs in the IKONOS triplet Thun. CP are the check points. In the upper table part the GCPs cover 1/3 of the image in south-west, south-east, north-east and north-west, respectively (the most mountainous part is south-west, and then north-east). In the bottom table part, GCPs cover 2/3 of the image.

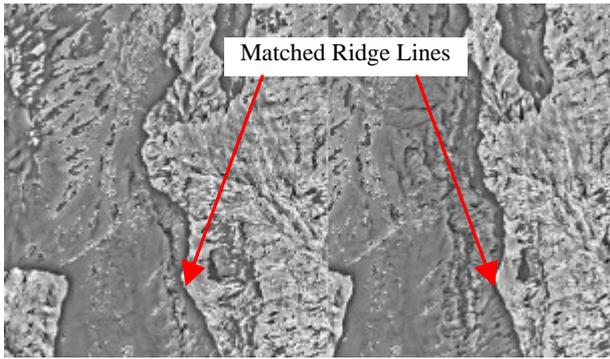


Figure 5. Edge matching results in Alpine area.

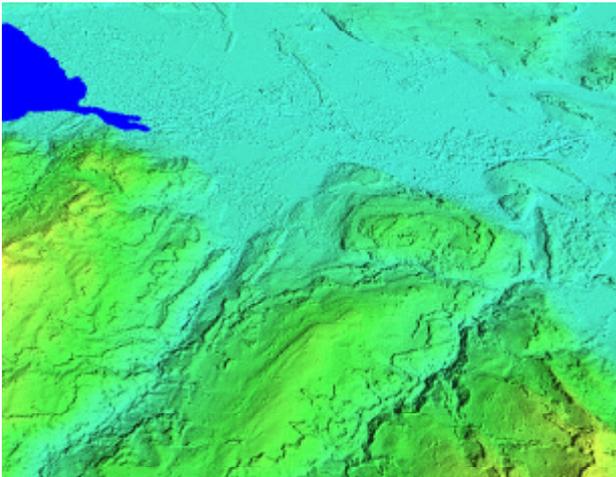


Figure 6. Shaded 5m DSM generated from IKONOS. The city of Thun in the upper left seen from North-West.

The procedure mainly contains the following characteristics:

- 1) It is a combination of feature point, edge and grid point matching. The grid point matching procedure uses relaxation-based relational matching, and can bridge-over areas with no or little texture through local smoothness constraints. The matched edges are introduced to control the smoothness constraints in order to preserve the surface discontinuities.
- 2) The adaptive determination of the matching parameters results in a higher success rate and less blunders. These parameters include the size of the matching window, the search distance and the threshold value for cross-correlation and MPGC. For instance, the procedure uses a smaller matching window, larger search distance and a smaller threshold value in rough terrain area and vice versa. The roughness of the terrain can be computed from the approximate DSM on a higher level of the image pyramid.
- 3) Linear features are important for preserving the surface discontinuities. A robust edge matching algorithm, using the multi-image information and adaptive matching window determination through the analysis of the image content and local smoothness constraints along the edges, is combined into our procedure. One example of edge matching is shown in Fig. 5.
- 4) Edges (in 3D) are introduced as breaklines when a TIN-based DSM is constructed. This DSM provides good approximations for matching in the next pyramid level. The computation of the approximate DSM in the highest pyramid level uses a matching algorithm based on the

“region-growing” strategy (Otto and Chau, 1988), in which the already measured GCPs and tie points can be used as “seed points”.

- 5) If more than two images are available, the MPGC procedure can use them simultaneously and matching results are more robust. Here, the resulting DSM from an image pair can be used as approximation for the MPGC procedure.
- 6) Through the quality control procedure, e.g. using the local smoothness and consistency analysis of the intermediate DSM at each image pyramid, the analysis of the differences between the intermediate DSMs, and the analysis of the MPGC results, blunders can be detected and deleted.

For each matched feature, a reliability indicator is assigned based on the analysis of the matching results from cross-correlation and MPGC. This indicator is used for assigning different weights for each measurement, which are used when a regular grid is interpolated.

4.2 Test Results

For Thun, we used for initial matching the images (and the respective triangulation results) of the triplet and stereopair separately and for the final MPGC all 5 images. The patch size varied from 7^2 to 17^2 for initial matching and was 11^2 for MPGC. Some areas like lakes and rivers were manually defined as “dead areas” via a user-friendly interface. A regular grid DSM with 5m spacing was interpolated from the raw measurements. Fig. 6 shows a visualisation of the generated DSM. In spite of smoothing due to the large area used in each point measurement, the discontinuities are quite well preserved.

Tables 9 and 10 show the DSM accuracy results, without any manual editing. The results are evaluated based on the differences between the heights interpolated in the reference laser DSM at the planimetric position of the DSM from matching and the heights from matching.

The tables show that the DSM accuracy is in the 1-5 m range, depending on the landcover and terrain type. A very high accuracy can be achieved in open areas. In these areas, more than 80% of the differences are less than 2 m. In urban and vegetation areas, the accuracy is worse, which is due to the fact that the reference LIDAR measurements and the parallaxes determined in matching refer to partly different objects. Matching measures higher than LIDAR at trees (in addition, at tress LIDAR sometimes measures below the tree tops) and narrow low-lying objects (like streets). Apart from that, the time difference between LIDAR and IKONOS data acquisition was 3-4 years, and the triplet of IKONOS had snow, up to 2-3 m in the mountains. Other factors that influenced matching were the long shadows (sun elevation was just 19 deg), occlusions, espec. in the W-E mountains, very low textured snow areas (which were improved with our preprocessing) and the patch size used in matching which unavoidably leads to smoothing of abrupt surface discontinuities. The accuracy values deteriorate also due to the high bias (see mean values espec. in Table 9), while height accuracy also gets worse due to the suboptimal base/height ratio (see sensor elevation and azimuth in Table 1). Taking all above factors into account, it becomes clear that IKONOS has a very high geometric accuracy potential and with sophisticated matching algorithms a height accuracy of 0.5 m – 1 m can be achieved in open areas

| Area | No. of Compared Points | Mean (m) | RMS (m) | < 2.0 m | 2.0-5.0 m | > 5.0 m | Max. (m) |
|---------|------------------------|----------|---------|---------|-----------|---------|----------|
| O+C+V+A | 29,210,494 | -1.21 | 4.80 | 60.7% | 16.8% | 21.3% | 424.2 |
| O+C+A | 17,610,588 | -1.11 | 2.91 | 77.0% | 13.9% | 10.1% | 358.9 |
| O+A | 14,891,390 | -1.24 | 2.77 | 79.8% | 12.2% | 8.0% | 358.9 |
| O | 11,795,795 | -1.00 | 1.28 | 90.3% | 8.5% | 1.2% | 37.33 |

Table 9. Accuracy measures and error classes for the triplet. O-Open areas; C-City areas; V-Tree areas; A-Alpine areas.

| Area | No. of Compared Points | Mean (m) | RMS (m) | < 2.0 m | 2.0-5.0 m | > 5.0 m | Max. (m) |
|-------|------------------------|----------|---------|---------|-----------|---------|----------|
| O+C+V | 20,336,024 | 0.45 | 4.78 | 57.7% | 21.3% | 20.9% | 125.2 |
| O+C | 13,496,226 | -0.33 | 3.38 | 68.7% | 20.8% | 10.3% | 47.34 |
| O | 3,969,734 | -0.97 | 1.54 | 83% | 15.0% | 2.0% | 39.4 |

Table 10. Accuracy measures and error classes for the stereopair. O-Open areas; C-City areas; V-Tree areas.

with cooperative texture. In fact in these areas, the matching accuracy was close to that of LIDAR.

5. CONCLUSIONS

The presented results verify that 3D points can be determined with a submeter accuracy which for the planimetry can be 0.5 m or less, if accurate GCPs are used. This was achieved also with non-GPS GCPs and in mountainous areas with not very well defined GCPs. The number of GCPs can be small, their accuracy being the main point. GCPs can cover only a portion of the image, although caution should be paid in areas with large height differences. QB is not as linear as IKONOS and to achieve equal accuracy, needs an affine transformation after employment of RPCs. The simple models (3D and 2D affine) do not always perform well, thus use of RPCs should be generally preferred. IKONOS and QB orthoimages have been generated with an accuracy of 0.5 – 0.8 m, for typical sensor elevations of 65-75 deg. This requires, however, an accurate DSM/DTM. Sophisticated matching algorithms have derived a 5 m DSM with an accuracy of 1-5 m without editing and under very difficult conditions. In spite of that, accuracy in open textured areas was 1m or below. This potential has been very little exploited up to now, especially with IKONOS which is more stereo capable than QB, and presents an interesting alternative technology for deriving DSMs. Future work will focus on refinement of these investigations and possibly processing of new better quality images in the Thun testfield.

ACKNOWLEDGEMENTS

We thank the Swiss Federal Office of Topography and the NPOC, Bern for providing in Thun the laser DSM and in Geneva the HRS images, Swissimage and the DHM25, the Canton Geneva for providing the 25-cm orthoimages and the laser DTM and Space Imaging USA for the IKONOS images in Thun and the RPCs of the Geneva IKONOS images. We also thank Oliver Heller and Oliver Gut, students at ETH Zurich, for contributing in this work, especially for the Geneva data.

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